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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: John R. Liddicoat et al.

Application No./Patent No.: 6,942,694 Filed/Issue Date: September 13, 2005

Titled: TISSUE ANNULOPLASTY BAND AND APPARATUS AND METHOD FOR FASHIONING, SIZING AND IMPLANTING THE SAME

Guided Delivery Systems Inc. (Name of Assignee), a corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. [X] the assignee of the entire right, title, and interest in;

2. [ ] an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or

3. [ ] an assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above by virtue of either:

A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. [X] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: John R. Liddicoat and Richard B. Streeter To: Viacor, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 013483, Frame 0731, or for which a copy thereof is attached.

2. From: Brian Coyler Coppom To: Viacor, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 013481, Frame 0597, or for which a copy thereof is attached.

3. From: Viacor, Inc. To: Guided Delivery Systems Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which **a copy thereof is attached.**

[ ] Additional documents in the chain of title are listed on a supplemental sheet(s).

[X] As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (*i.e.*, a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Hain-Ann Hsueh Yang/	May 26, 2011
Signature	Date
Hain-Ann Hsueh Yang	Representative for Assignee
Printed or Typed Name	Title

578492005400

ASSIGNMENT

WHEREAS, Viacor, Inc., a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 260-B Fordham Road, Wilmington, MA 01887 (hereinafter referred to as the "assignor"), is the sole and exclusive owner, by assignment, of the Patents and Patent Applications bearing the serial numbers and filing dates set forth in the table provided in Appendix A and the new and useful inventions described therein; and

WHEREAS, Guided Delivery Systems Inc., a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 2355 Calle de Luna, Santa Clara, California 95054 (hereafter referred to as the "assignee"), is desirous of acquiring the right, title and interest in, to and under the Patents and Patent Applications set forth in Appendix A and the inventions covered thereby.

NOW, THEREFORE, in consideration of the Asset Purchase Agreement entered as of January **, 2011, the receipt of which is hereby acknowledged, assignor confirms the sale, assignment and transfer of the entire right, title and interest, including any right to priority, in and to the Patents and Patent Applications set forth in Appendix A and the new and useful inventions described therein, and any and all applications for Letters Patent in the United States of America and Patent Applications in all foreign countries, and in all Letters Patents in the United States of America and in all Patents in all foreign countries which may be granted therefor and thereon, and in any and all divisions, continuations, and continuations-in-part of the Patents and Patent Applications set forth in Appendix A, or reissues or extensions of the Patents or Patent Applications set forth in Appendix A, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said assignees, for their own use and the use of their successors, legal representatives and assigns, to the full end of the term or terms for which the said Patents or Patent Applications may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, the said assignor hereby covenants and agrees to and with the assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignor is the sole and lawful owner of the entire right, title and interest in and to the Patents and Patent Applications set forth in the table provided in Appendix A and the new and useful inventions described therein, and that the same are unencumbered and that the said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND assignor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Patents of the United States on said inventions or resulting from said Patents and Patent Applications and any continuations, divisionals and reissues thereof to assignee as assignee of the entire interest, and hereby covenants that it has full right to convey the entire interest herein assigned, and that it has not executed, and will not execute, any agreements inconsistent herewith.

24 APRIL 2011 — Date

Assignor Signature

JONATHAN M. ROURKE CEO

(Printed or Typed Name, Title)

Assignee hereby accepts the assignment of all the right, title and interest in, to and under said Patents and Patent Applications bearing the serial numbers and filing dates set forth in the table provided in Appendix A, and the new and useful inventions covered thereby as set forth above.

10 May 2011 — Date

Assignee Signature

Niel F. Starksen

(Printed or Typed Name, Title)

APPENDIX A

Title	Serial Number	Location	Filing Date
AUTOMATED ANNULAR PLICATION FOR MITRAL VALVE REPAIR	60/213,782	U.S.	06/23/00
AUTOMATED ANNULAR PLICATION FOR MITRAL VALVE REPAIR	09/888,282 now Pat. No. 6,702,826	U.S.	06/22/01
AUTOMATED ANNULAR PLICATION FOR MITRAL VALVE REPAIR	10/796,591	U.S.	03/09/04
AUTOMATED ANNULAR PLICATION FOR MITRAL VALVE REPAIR	PCT/US2001/020092	PCT	06/22/01
AUTOMATED ANNULAR PLICATION FOR MITRAL VALVE REPAIR	01950418.2, now EP Pat. No. 1330189	Europe	06/22/01
AUTOMATED ANNULAR PLICATION FOR MITRAL VALVE REPAIR	1330189	Great Britain	06/22/01
AUTOMATED ANNULAR PLICATION FOR MITRAL VALVE REPAIR	601 32 005.0	Germany	06/22/01
MYOBAND	60/242,466	U.S.	10/23/00
AUTOMATED ANNULAR PLICATION FOR MITRAL VALVE REPAIR	10/004,474 now Pat. No. 6,913,608	U.S.	10/23/01
AUTOMATED ANNULAR PLICATION FOR MITRAL VALVE REPAIR	11/154,990	U.S.	06/16/05
MITRAL SHIELD	60/243,234	U.S.	10/25/00
MITRAL SHIELD	10/004,068 now Pat. No. 7,070,618	U.S.	10/25/01
MITRAL SHIELD	11/479,681	U.S.	06/30/06
INSTRUMENTS AND METHODS TO FASHION, SIZE, AND IMPLANT A TISSUE ANNULOPLASTY DEVICE	60/176,046	U.S.	01/14/00
TISSUE ANNULOPLASTY BAND AND APPARATUS AND METHOD FOR FASHIONING, SIZING AND IMPLANTING THE SAME	09/760,222 now Pat. No. 6,942,694	U.S.	01/12/01

TISSUE ANNULOPLASTY BAND AND APPARATUS AND METHOD FOR FASHIONING, SIZING AND IMPLANTING THE SAME	11/221,393 now Pat. No. 7,427,291	U.S.	09/07/05
TISSUE ANNULOPLASTY BAND AND APPARATUS AND METHOD FOR FASHIONING, SIZING AND IMPLANTING THE SAME	12/229,522	U.S.	08/22/08
TISSUE ANNULOPLASTY BAND AND APPARATUS AND METHOD FOR FASHIONING, SIZING AND IMPLANTING THE SAME	PCT/US01/ 01267	PCT	01/12/01
AN ANNULOPLASTY BAND	2,399,905	Canada	01/12/01
TISSUE ANNULOPLASTY BAND AND APPARATUS AND METHOD FOR FASHIONING, SIZING AND IMPLANTING THE SAME	01806300.4 now Pat. No. ZL01806300.4	China	01/12/01
TISSUE ANNULOPLASTY BAND	200510138195.7	China	01/12/01
TISSUE ANNULOPLASTY BAND	200810168863.4	China	01/12/01
TISSUE ANNULOPLASTY BAND AND APPARATUS AND METHOD FOR FASHIONING, SIZING AND IMPLANTING THE SAME	IN/PCT/2002/ 00713/DEL now Pat. No. 218344	India	01/12/01
AN ANNULOPLASTY BAND AND A SIZER FOR SIZING A TISSUE ANNULOPLASTY BAND	1325/DEL/2004	India	01/12/01
A SIZER FOR SIZING AN ANNULOPLASTY DEVICE	855/DELNP/2005 now Pat. No. 227052	India	01/12/01
A TISSUE CUTTING DIE APPARATUS	856/DELNP/2005 now Pat. No. 227054	India	01/12/01
TRANSVASCULAR APPROACH TO MITRAL VALVE PROCEDURES	60/266,766	U.S.	02/05/01

APPARATUS AND METHOD FOR REDUCING MITRAL REGURGITATION	10/068,700 now Pat. No. 6,790,231	U.S.	02/05/02
APPARATUS AND METHOD FOR REDUCING MITRAL REGURGITATION	10/914,618	U.S.	08/09/04
APPARATUS AND METHOD FOR REDUCING MITRAL REGURGITATION	PCT/US02/03437	PCT	02/05/02
APPARATUS AND METHOD FOR REDUCING MITRAL REGURGITATION	Pat. No. 2,437,824	Canada	02/05/02
APPARATUS AND METHOD FOR REDUCING MITRAL REGURGITATION	02709364.0	Europe	02/05/02
TRANSVASCULAR METHODS AND DEVICES FOR MITRAL VALVE PROCEDURES	60/273,893	U.S.	03/05/01
APPARATUS AND METHOD FOR REDUCING MITRAL REGURGITATION	10/090,968	U.S.	03/05/02
APPARATUS AND METHOD FOR REDUCING MITRAL REGURGITATION	PCT/US02/21865	PCT	03/05/02
APPARATUS AND METHOD FOR REDUCING MITRAL REGURGITATION	2,441,370	Canada	03/05/02
APPARATUS AND METHOD FOR REDUCING MITRAL REGURGITATION	2,668,308	Canada	03/05/02
METHOD AND APPARATUS TO IMPROVE MITRAL VALVE FUNCTION	60/278,153	U.S.	03/23/01
METHOD AND APPARATUS FOR REDUCING MITRAL REGURGITATION	10/104,720 now Pat. No. 6,890,353	U.S.	03/22/02
METHOD AND APPARATUS FOR REDUCING MITRAL REGURGITATION	11/125,415	U.S.	05/06/05
METHOD AND APPARATUS FOR REDUCING MITRAL REGURGITATION	PCT/US02/08805	PCT	03/22/02
METHOD AND APPARATUS FOR REDUCING MITRAL REGURGITATION	Pat. No. 2,441,886	Canada	03/22/02
METHOD AND APPARATUS TO IMPROVE MITRAL VALVE FUNCTION	60/279,974	U.S.	03/29/01
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	10/112,354 now Pat. No. 7,186,264	U.S.	03/29/02

METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	11/714,710	U.S.	03/06/07
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	PCT/US02/09615	PCT	03/29/02
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	2,442,750	Canada	03/29/02
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	02719381.2 now Pat. No. 1 383 448	Europe	03/29/02
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	Pat. No. 1 383 448	Great Britain	03/29/02
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	60226967.9	Germany	03/29/02
METHOD AND APPARATUS FOR TEMPORARY IMPROVEMENT IN MITRAL VALVE FUNCTION	60/280,038	U.S.	03/30/01
METHODS AND DEVICES TO IMPROVE MITRAL VALVE FUNCTION	60/279,973	U.S.	03/29/01
METHOD AND APPARATUS FOR TEMPORARY IMPROVEMENT IN MITRAL VALVE FUNCTION	60/283,820	U.S.	04/13/01
METHOD AND APPARATUS FOR TEMPORARY IMPROVEMENT IN MITRAL VALVE FUNCTION	60/312,217	U.S.	08/14/01
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	10/218,649	U.S.	08/14/02
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	PCT/US02/25890	PCT	08/14/02

METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	10/068,264 now Pat. No. 6,656,221	U.S.	02/05/02
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	10/641,975	U.S.	08/15/03
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	PCT/US02/03550	PCT	02/05/02
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	Pat. No. 2002240288	Australia	02/05/02
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	Pat. No. 2006203499	Australia	02/05/02
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	2,437,387	Canada	02/05/02
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	02807248.0 now Pat. No. ZL02807248.0	China	02/05/02
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	02706183.7	Europe	02/05/02
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	2002-562413 now Pat. No. 4184794	Japan	02/05/02
TRANSVASCULAR APPROACH TO MITRAL VALVE PROCEDURES	60/339,481	U.S.	10/26/01
METHOD AND APPARATUS FOR REDUCING MITRAL REGURGITATION	10/280,401 now Pat. No. 7,052,487	U.S.	10/25/02
METHOD AND APPARATUS FOR REDUCING MITRAL REGURGITATION	11/371,642	U.S.	03/09/06
METHOD AND APPARATUS FOR REDUCING MITRAL REGURGITATION	PCT/US02/34294	PCT	10/25/02
METHOD AND APPARATUS TO IMPROVE MITRAL VALVE FUNCTION	60/348,424	U.S.	01/14/02

METHOD AND APPARATUS FOR REDUCING MITRAL REGURGITATION	10/342,034 now Pat. No. 7,241,310	U.S.	01/14/03
METHOD AND APPARATUS FOR REDUCING MITRAL REGURGITATION	11/818,991	U.S.	06/15/07
METHOD AND APPARATUS FOR REDUCING MITRAL REGURGITATION	PCT/US03/00971	PCT	01/14/03
METHOD AND APPARATUS FOR REDUCING MITRAL REGURGITATION	2,472,482	Canada	01/14/03
METHOD AND APPARATUS FOR REDUCING MITRAL REGURGITATION	03707367.3	Europe	01/14/03
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	60/391,790	U.S.	06/26/02
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	10/607,366	U.S.	06/26/03
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	PCT/US03/20284	PCT	06/26/03
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	10/446,470 now Pat. No. 7,125,420	U.S.	05/27/03
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	11/582,157	U.S.	10/17/06
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	PCT/US04/16472	PCT	05/26/04
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	Pat. No. 2004243029	Australia	05/26/04
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	2010200752	Australia	05/26/04
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	2,526,110	Canada	05/26/04

METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	200480021525.8	China	05/26/04
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	04753317.9	Europe	05/26/04
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	2006-533411 now Pat. No. 4456605	Japan	05/26/04
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	60/489,549	U.S.	07/23/03
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	60/562,958	U.S.	04/17/04
AUTOMATED ANNULAR PLICATION FOR MITRAL VALVE REPAIR	60/543,514	U.S.	02/11/04
AUTOMATED ANNULAR PLICATION FOR MITRAL VALVE REPAIR	11/056,553	U.S.	02/11/05
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	10/894,676 no Pat. No. 7,179,291	U.S.	07/19/04
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	11/708,662	U.S.	02/20/07
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	PCT/US04/23315	PCT	07/19/04
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	2004258950	Australia	07/19/04
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	2,533,556	Canada	07/19/04
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	200480027589.9	China	07/19/04

METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	04778689.2	Europe	07/19/04
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	2006-521188	Japan	07/19/04
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	60/630,606	U.S.	11/24/04
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	11/286,906	U.S.	11/23/05
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	12/387,736	U.S.	05/07/09
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	PCT/US05/42619	PCT	11/23/05
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	2005309512	Australia	11/23/05
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	2,588,653	Canada	11/23/05
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	200580046914.0	China	11/23/05
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	05852132.9	Europe	11/23/05
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	2007-543495	Japan	11/23/05
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	12/260,858	U.S.	10/29/08
METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION	PCT/US09/05876	PCT	10/29/09